



Docket No. 56775 (70551)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT(S): Norio KANETSUKI, et al.

U.S.S.N.: 10/015,446

COPY OF PAPERS  
ORIGINALLY FILED

FILED: December 12, 2001

FOR: Plasma Processing Method

**CERTIFICATE OF MAILING**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: BOX MISSING PARTS, Assistant Commissioner for Patents, Washington, D.C. 20231 on February 28, 2002

By:

JUDITH A. HERRICK  
JUDITH A. HERRICK

\*\*\*\*\*  
BOX MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS FILING DATE GRANTED  
AND REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT**

Sir:

The following is in response to the Notice to File Missing Parts - Filing Date Granted mailed February 22, 2002, in the above referenced application.

Enclosed herewith for filing in the subject application are the following:

1. A copy of the Notice to File Missing Parts -Filing Date Granted for the subject application.
2. A properly signed oath or declaration in compliance with 37 CFR 1.63 with power of attorney, identifying the application by the above Application Title and Filing Date, and including residence information.
3. A statement by a registered attorney that the application filed in the PTO is the application that the inventors executed by signing the oath or declaration and power of attorney.

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4. Assignment and Recordation Form Cover Sheet.

Completion of application filing fees as calculated below:

1. Surcharge for late payment of filing fee and/or late filing of original declaration or oath..... \$ 130.00
2. The Recordation of Assignment fee... .... \$ 40.00

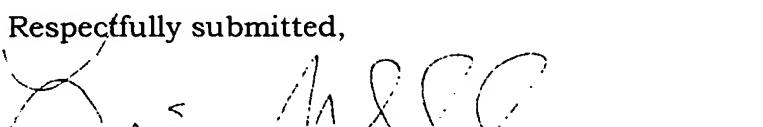
TOTAL..... \$ 170.00

Enclosed herewith is a check for \$170.00 in payment of the total fee due, which includes the amount of \$40.00 for recording the enclosed Assignment.

Applicants also respectfully request that the official filing receipt be corrected to reflect the inventorship of the subject application as provided on the enclosed declaration and to include the addresses for each of the identified inventors.

Applicants conditionally petition for an extension of time to provide for the possibility that such a petition has been inadvertently overlooked and is required. Accordingly, if for any reason a fee is required, a fee paid is inadequate or credit is owed for any excess fee paid, you are hereby authorized and requested to charge Deposit Account No. **04-1105**.

Respectfully submitted,

  
Lisa Swiszcz Hazzard (Reg. No. 44,368)  
DIKE, BRONSTEIN, ROBERTS & CUSHMAN, LLP  
Intellectual Property Practice Group of  
EDWARDS & ANGELL, LLP  
P.O. Box 9169  
Boston, MA 02209

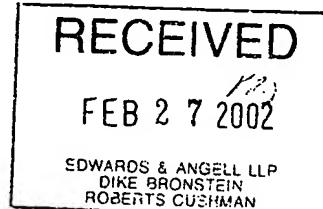


## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/015,446	12/12/2001	Norio Kanetsuki	56775

Lisa Swiszcz Hazzard  
 Edward & Angell, LLP  
 P.O. Box 9169  
 Boston, MA 02209



CONFIRMATION NO. 4596  
 FORMALITIES LETTER



Date Mailed: 02/22/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

COPY OF PAPERS  
 ORIGINALLY FILED*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/15/2002 BABRAHA1 00000030 10015446

01 FC:105

130.00 OP

File Missing Parts

Edwards &amp; Angell LLP

Dike, Bronstein, Roberts &amp; Cushman

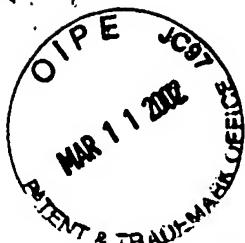
101 Federal St. Boston, MA 02110

Date Rec'd 2/22/02

Docketed For Apr 22 - Sep 22, 2002

By KRC

Approved m v l v



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APPLICANT: N. KANETSUKI, et al.

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CERTIFICATE OF MAILING

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By:

JUDITH A. HERRICK

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BOX MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**STATEMENT BY PRACTITIONER THAT APPLICATION FILED IN PTO  
IS THE ONE INVENTOR EXECUTED BY SIGNING DECLARATION**

I, Lisa Swiszcz Hazzard (Reg. No. 44,368)  
DIKE, BRONSTEIN, ROBERTS & CUSHMAN, LLP  
Intellectual Property Practice Group of  
EDWARDS & ANGELL, LLP  
P.O. Box 9169  
Boston, MA 02209

state I am the registered practitioner for this application and the application identified above is the application that the inventor(s) executed by signing the declaration that is being submitted herewith.

Date: 2/28/02

Lisa Swiszcz Hazzard (Reg. 44,368)